

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004

Annual Plan for Fiscal Year 2000

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

HUD 50075

OMB Approval No: 2577-0226
Expires: 03/31/2002

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OMB Approval No: 2577-0226
Expires: 03/31/2002

PHA Plan
Agency Identification

PHA Name: Housing Authority of the City of Charlotte, N.C.

PHA Number: NC003

PHA Fiscal Year Beginning: (mm/yyyy) 04/2000

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- ☒ Main administrative office of the PHA
☐ PHA development management offices
☐ PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- ☒ Main administrative office of the PHA
☒ PHA development management offices
☐ PHA local offices
☒ Main administrative office of the local government
☒ Main administrative office of the County government
☐ Main administrative office of the State government
☒ Public library
☐ PHA website
☒ Other (list below)
 PHA website under development

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- ☒ Main business office of the PHA
☐ PHA development management offices
☐ Other (list below)

HUD 50075

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Expires: 03/31/2002

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

- X The PHA's mission is: (state mission here) The Charlotte Housing Authority serves those Charlotte families from diverse social and economic backgrounds with housing needs requiring the services provided by the Authority. Its mission is to support and assist these families to enhance their quality of life while requiring those who are capable or who can develop capability to transition from dependency to self sufficiency and economic independence.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- X PHA Goal: Expand the supply of assisted housing
Objectives:
X Apply for additional rental vouchers:
Reduce public housing vacancies:
X Leverage private or other public funds to create additional housing opportunities:
X Acquire or build units or developments
Other (list below)
- X PHA Goal: Improve the quality of assisted housing
Objectives:

- X Improve public housing management: (PHAS score)
 - X Improve voucher management: (SEMAP score)
 - X Increase customer satisfaction:
 - X Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - X Renovate or modernize public housing units:
 - X Demolish or dispose of obsolete public housing:
 - X Provide replacement public housing: In connection with HOPE VI projects.
 - X Provide replacement vouchers: In connection with HOPE VI projects.
 - X Other: (list below)
Improve maintenance of its housing stock.
- X PHA Goal: Increase assisted housing choices
- Objectives:
- X Provide voucher mobility counseling:
 - X Conduct outreach efforts to potential voucher landlords
 - X Increase voucher payment standards
 - Implement voucher home ownership program:
 - X Implement public housing or other home ownership programs:
 - X Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- X PHA Goal: Provide an improved living environment
- Objectives:
- X Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - X Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - X Implement public housing security improvements:
 - X Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- X PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
- X Increase the number and percentage of employed persons in assisted families: Increase income 25% in 5 years.
 - X Provide or attract supportive services to improve assistance recipients' employability: Includes bus passes, GED classes taught by Central Piedmont Community College, computer training. CHA works with 57 agencies on a referral basis.
 - X Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- X PHA Goal: Ensure equal opportunity and affirmatively further fair housing
- Objectives:
- X Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: Section 8 in non-impacted neighborhood, exception rents, referrals to fair housing enforcement office.
 - X Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability: Sensitivity training for residents and staff, expand staff to include multi-lingual persons internal communiques and ads.
 - X Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- Other: (list below)

Other PHA Goals and Objectives: (list below)

1. Increase supply of non-assisted low income housing.
 - Purchase existing rental housing units to maintain their availability as low income housing.
 - Construct new low income rental housing units.
2. Utilize PHA assets to generate income to operate PHA housing and self-sufficiency programs.
 - Dispose of properties (by sale or lease) as appropriate which are under-utilized or highly appreciated.

- Acquire additional properties in interests in properties for the development of additional housing units.

Annual PHA Plan
PHA Fiscal Year 2000

[24 CFR Part 903.7]

Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

☒ **High Performing PHA**
Small Agency (<250 Public Housing Units)
Administering Section 8 Only

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- X Admissions Policy for Deconcentration (NC003a01)
 - X FY 2000 Capital Fund Program Annual Statement (NC003b01)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- X PHA Management Organizational Chart (NC003c01)(NC003f01)
- X FY 2000 Capital Fund Program 5 Year Action Plan (NC003d01)

- X Public Housing Drug Elimination Program (PHDEP) Plan (NC003e01)
 Comments of Resident Advisory Board or Boards (must be attached if not included
 in PHA Plan text)
 Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies

X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 18. 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
Applicable & On Display	Supporting Document	Applicable Plan Component
X	Schedule of flat rents offered at each public housing development check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies X check here if included in Section 8 Administrative Plan A & O Policy	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures X check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures X check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
X	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing

X	Approved or submitted public housing home ownership programs/plans	Annual Plan: Home ownership
X	Policies governing any Section 8 Home ownership program check here if included in the Section 8 Administrative Plan	Annual Plan: Home ownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency

Applicable & On Display	Supporting Document	Applicable Plan Component
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	2. Size	Loca-tion
Income <= 30% of AMI	5,627	5	5	5	5	5	5
Income >30% but <=50% of AMI	5,141	5	5	5	5	5	5
Income >50% but <80% of AMI	7,327	3	3	3	3	3	3
Elderly	6,109	5	5	5	5	5	5
Families with Disabilities	4,500	5	5	5	5	5	5
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

X Consolidated Plan of the Jurisdiction/s

Indicate year: FY 2000 (F/F/Y 1999)

U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") data set

American Housing Survey data

Indicate year: _____

Other housing market study

Indicate year: _____

_____ Other sources: (list and indicate year of information)

A. Housing Needs of Families on the Public Housing and Section 8 Tenant-Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. Complete one table for each type of PHA-wide waiting list administered by the PHA. PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	3,536		700
Extremely low income ≤30% AMI	3,041	86.0%	
Very low income (>30% but ≤50% AMI)	444	12.6%	
Low income (>50% but <80% AMI)	45	1.3%	
Families with children	3,165	89.5%	
Elderly families	184	5.2%	
Families with Disabilities	222	6.3%	
Race/ethnicity White	273	7.7%	
Race/ethnicity Black	3,230	91.3%	
Race/ethnicity Asian	10	.3%	
Race/ethnicity Pacific Islander	23	.7%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	687	19.4%	238
2 BR	1,543	43.6%	164

3 BR	1,171	33.1%	108
4 BR	107	3.0%	36
5 BR	28	.8%	3
5+ BR	N/A	N/A	N/A

Is the waiting list closed (select one)? ☒ No ☐ Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	862		370
Extremely low income <=30% AMI	806	94.6%	
Very low income (>30% but <=50% AMI)	54	6.3%	
Low income (>50% but <80% AMI)	2	.2%	
Families with children	775	89.9%	
Elderly families	32	3.7%	
Families with Disabilities	75	8.7%	
Race/ethnicity White	53	6.1%	
Race/ethnicity Black	805	93.4%	
Race/ethnicity Asian	1	.1%	
Race/ethnicity Hispanic	3	.3%	

Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? No <input checked="" type="checkbox"/> Yes If yes: How long has it been closed (# of months)? 82 Does the PHA expect to reopen the list in the PHA Plan year? No <input checked="" type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No <input checked="" type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

The CHA's strategy to address the housing needs of families in the jurisdiction and on the waiting list is to focus on developing public/private partnerships and building a cooperative environment between the various institutional structures and intergovernmental agencies to create affordable housing opportunities. The CHA chose this strategy because it is only through these cooperative efforts that affordable housing needs will be addressed and met.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- X Employ effective maintenance and management policies to minimize the number of public housing units off-line
- X Reduce turnover time for vacated public housing units
- X Reduce time to renovate public housing units
- X Seek replacement of public housing units lost to the inventory through mixed finance development

- X Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- X Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- X Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required

- X Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- X Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- X Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- X Other (list below)
Expand economic self sufficiency programs to assist successful families to move out of public housing units, thereby making those units available to other eligible families.

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- X Apply for additional section 8 units should they become available
- X Leverage affordable housing resources in the community through the creation of mixed - finance housing
- X Pursue housing resources other than public housing or Section 8 tenant-based assistance.
Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- X Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- X Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
Employ admissions preferences aimed at families with economic hardships
- X Adopt rent policies to support and encourage work
- X Other: (list below)
Preference for families participating in an economic self sufficiency program.

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- X Employ admissions preferences aimed at families who are working
- X Adopt rent policies to support and encourage work
- X Other: (list below)
Preference for families participating in an economic self sufficiency program.

B. Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

Seek designation of public housing for the elderly

X Apply for special-purpose vouchers targeted to the elderly, should they become available

X Other: (list below)

a. Currently have designation of 4 public housing communities for the elderly and a preference (for elderly and disabled) for all one bedroom units.

b. Affirmatively market to the elderly.

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

Seek designation of public housing for families with disabilities

X Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing

X Apply for special-purpose vouchers targeted to families with disabilities, should they become available

X Affirmatively market to local non-profit agencies that assist families with disabilities

X Other: (list below)

(A) Currently have designation of 2 public housing communities for mixed (elderly and disabled) occupancy and a preference (for elderly and disabled) for all one bedroom units and have received 275 special purpose vouchers targeted to families with disabilities.

(B) Affirmatively market to families with disabilities.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs.

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

X Affirmatively market to races/ethnicities shown to have disproportionate housing needs

Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- X Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- X Market the section 8 program to owners outside of areas of poverty /minority concentrations
- X Other: (list below)
Coordinate with city departments to affirmatively further fair housing.

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- X Funding constraints
- X Staffing constraints
- X Limited availability of sites for assisted housing
- X Extent to which particular housing needs are met by other organizations in the community
- X Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- X Influence of the housing market on PHA programs
- X Community priorities regarding housing assistance
- X Results of consultation with local or state government
- X Results of consultation with residents and the Resident Advisory Board
- X Results of consultation with advocacy groups
- Other: (list below)

Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2000 grants)		
a) Public Housing Operating Fund	\$5,900,000	
b) Public Housing Capital Fund	\$5,250,000	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant- Based Assistance	\$12,600,000	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	\$950,000	
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only)		
(list below) HOPE VI	\$60,400,000	Use as specified in grant
CGP	\$ 4,700,000	Use as specified in grant
PHDEP	\$ 1,000,000	Use as specified in grant
EDSS	\$ 170,000	Use as specified in grant
FIC	\$ 79,000	Use as specified in grant
3. Public Housing Dwelling Rental Income	\$6,000,000	Provide low income housing or to benefit residents
4. Other income (list below)		
Excess Utilities/Interest, Inc.	\$125,000	Provide low income housing or to benefit residents

Laundry, Vending, Late Fees, etc.	\$136,000	Provide low income housing or to benefit residents
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4. Non-federal sources (list below)		
Total resources	\$97,310,000	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

A. Public Housing

(1) Eligibility

- a. When does the PHA verify eligibility for admission to public housing? (select all that apply)
- When families are within a certain number of being offered a unit: (state number)
- X When families are within a certain time of being offered a unit: (state time) 60 days
- Other: (describe)
- b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?
- X Criminal or Drug-related activity
- X Rental history
- X Housekeeping
- X Other (describe)
- c. X Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes X No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. X Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

- a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- X Community-wide list
- Sub-jurisdictional lists
- X Site-based waiting lists
- X Other (describe) Waiting list is organized to accommodate families who qualify for units in communities designated for elderly and mixed (elderly and disabled) occupancy.

b. Where may interested persons apply for admission to public housing?

PHA main administrative office
PHA development site management office

- X Other (list below)
PHA application and admissions office.

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

28 (plus a PHA - wide waiting list)

2. X Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?

If yes, how many lists? ALL

3. X Yes No: May families be on more than one list simultaneously

If yes, how many lists? 4

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

PHA main administrative office

- X All PHA development management offices
- Management offices at developments with site-based waiting lists

- X At the development to which they would like to apply

- X Other (list below)
PHA applications and admissions office.

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- X One
- Two
- Three or More

b. Yes ☒ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

Elderly persons receive a maximum of 3 offers: 1 offer of a unit in a community designated for elderly; 1 offer of a unit in a community designated for mixed occupancy; and 1 offer of a unit in a family/scattered site community.

Disabled persons receive a maximum of 2 offers. 1 offer for a unit in a community designated for mixed occupancy, and 1 offer of a unit in a family/scattered site community.

(4) Admissions Preferences

a. Income targeting:

☒ Yes ☐ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

☒ Emergencies

☒ Over-housed

☒ Underhoused

☒ Medical justification

☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)

Resident choice: (state circumstances below)

☒ Other: (list below)

Enrolling in or dropping out or being terminated from the Family Self-Sufficiency Program.

a. Preferences

1. ☐ Yes ☒ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

1. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

☐ Involuntary Displacement (Disaster, Government Action, Action of Housing

☐ Owner, Inaccessibility, Property Disposition)

☐ Victims of domestic violence

☐ Substandard housing

☐ Homelessness

☐ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- X Other preference(s) (list below)
 - Elderly and disabled
 - Work First participants
 - City of Charlotte Relocation Program
 - Family Unification
 - Those enrolled currently in educational, training, and upward mobility programs Date and time

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

6 Date and Time

Former Federal preferences:

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing
- ☐ Owner, Inaccessibility, Property Disposition)
- ☐ Victims of domestic violence
- ☐ Substandard housing
- ☐ Homelessness
- ☐ High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- X Other preference(s) (list below)

1. Elderly and disabled
2. Work First participants
3. City of Charlotte Relocation Program
4. Family Unification
5. Those enrolled currently in educational, training, or upward mobility programs
6. Date and time

4. Relationship of preferences to income targeting requirements:

_____ The PHA applies preferences within income tiers

X Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

X The PHA-resident lease

X The PHA's Admissions and (Continued) Occupancy policy

X PHA briefing seminars or written materials

Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

X At an annual reexamination and lease renewal

X Any time family composition changes

X At family request for revision

Other (list)

(6) Deconcentration and Income Mixing

a. X Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. X Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site-based waiting lists

If selected, list targeted developments below:

X Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing

If selected, list targeted developments below:

If selected, list targeted developments below:

d. X Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

Other (list below)

Dillehay Courts, First Ward Place.

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

X	Criminal and drug-related activity, more extensively than required by law or regulation
---	---

More general screening than criminal and drug-related activity (list factors below)
Other (list below)

- b. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. ☐ Yes ☒ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. ☒ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- ☒ Criminal or drug-related activity
- ☒ Other (describe below)
Rent and damage history

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- ☒ None
- ☐ Federal public housing
- ☐ Federal moderate rehabilitation
- ☐ Federal project-based certificate program
- ☐ Other federal or local program (list below)
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
- ☐ PHA main administrative office
- ☒ Other (list below)
PHA application and admissions office

(3) Search Time

- a. ☒ Yes ☐ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

If resident is unable to locate a unit (units in Charlotte, particularly those in non-impacted areas, can be difficult to locate.

(4) Admissions Preferences

- a. Income targeting

X Yes ____ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- ☒ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☒ Victims of domestic violence
- ☒ Substandard housing
- ☒ Homelessness
- ☒ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☒ Other preference(s) (list below)
 - Handicapped and disabled
 - City of Charlotte Relocation Program
 - Family Unification
 - Former Federal Preferences
 - Those enrolled currently in educational, training, or upward mobility programs
 - Date and time

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

6 Date and Time

Former Federal preferences

- 4 ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner,

- Inaccessibility, Property Disposition)
- 4 Victims of domestic violence
- 4 Substandard housing
- 4 Homelessness
- 4 High rent burden

Other preferences (select all that apply)

- _____ Working families and those unable to work because of age or disability
- _____ Veterans and veterans' families
- _____ Residents who live and/or work in your jurisdiction
- 5 _____ Those enrolled currently in educational, training, or upward mobility programs
- _____ Households that contribute to meeting income goals (broad range of incomes)
- _____ Households that contribute to meeting income requirements (targeting)
- _____ Those previously enrolled in educational, training, or upward mobility programs
- _____ Victims of reprisals or hate crimes
- X _____ Other preference(s) (list below)
 - 1. Handicapped and disabled
 - 2. City of Charlotte Relocation Program
 - 3. Family Unification

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- X _____ Date and time of application
- _____ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- _____ This preference has previously been reviewed and approved by HUD
- _____ The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- _____ The PHA applies preferences within income tiers
- X _____ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- X _____ The Section 8 Administrative Plan
- X _____ Briefing sessions and written materials
- _____ Other (list below)

a. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

X Through published notices

_____ Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

(1) Income Based Rent Policies

a. Use of discretionary policies: (select one)

X The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

_____ The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

_____ \$0
___X___ \$1-\$25
_____ \$26-\$50

2. X Yes _____ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

Temporary hardship rent (no more than 60 days)

a. Rents set at less than 30% than adjusted income

1. _____ Yes X No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

_____ For the earned income of a previously unemployed household member

_____ For increases in earned income

_____ Fixed amount (other than general rent-setting policy)

_____ If yes, state amount/s and circumstances below:

_____ Fixed percentage (other than general rent-setting policy)

_____ If yes, state percentage/s and circumstances below:

_____ For household heads

_____ For other family members

_____ For transportation expenses

_____ For the non-reimbursed medical expenses of non-disabled or non-elderly families

_____ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

_____ Yes for all developments

X Yes but only for some developments

_____ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

_____ For all developments

_____ For all general occupancy developments (not elderly or disabled or elderly only)

_____ For specified general occupancy developments

_____ For certain parts of developments; e.g., the high-rise portion

_____ For certain size units; e.g., larger bedroom sizes

X Other (list below)

FSS communities

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

_____ Market comparability study

X Fair market rents (FMR)

_____ 95th percentile rents

_____ 75 percent of operating costs

_____ 100 percent of operating costs for general occupancy (family) developments

_____ Operating costs plus debt service

_____ The "rental value" of the unit

_____ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

_____ Never

_____ At family option

_____ Any time the family experiences an income increase

_____ Any time a family experiences an income increase above a threshold amount or percentage:

(if selected, specify threshold)_____

X Other (list below)

Anytime a family which previously had no income starts to receive income.

g. X Yes _____ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

X The section 8 rent reasonableness study of comparable housing

X Survey of rents listed in local newspaper

X Survey of similar unassisted units in the neighborhood

X Other (list/describe below)

Published data regarding apartment rental rates; Interviews with developers.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

At or above 90% but below 100% of FMR

100% of FMR

X Above 100% but at or below 110% of FMR

Above 110% of FMR (if HUD approved; describe circumstances below)

- b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)
- _____ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- _____ The PHA has chosen to serve additional families by lowering the payment standard
- _____ Reflects market or submarket
- _____ Other (list below)
- c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)
- _____ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- _____ Reflects market or submarket
- _____ To increase housing options for families
- _____ Other (list below)
- d. How often are payment standards reevaluated for adequacy? (select one)
- X Annually
- Other (list below)
- e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)
- X Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

- a. What amount best reflects the PHA's minimum rent? (select one)
- \$0
- X \$1-\$25
- \$26-\$50
- b. Yes X No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

 X An organization chart showing the PHA's management structure and organization is attached.

 A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6.
Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. ____ Yes ____ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- ____ PHA main administrative office
- ____ PHA development management offices
- ____ Other (list below)

B. Section 8 Tenant-Based Assistance

1. ____ Yes ____ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- ____ PHA main administrative office
- ____ Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

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HUD 50075
OMB Approval No: 2577-0226
Expires: 03/31/2002

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

X The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment NC003b01

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. X Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

X The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment NC003d01

-or-

_____ The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

X Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: First Ward Place (formerly Earle Village)
2. Development (project) number: 3-05
3. Status of grant: (select the statement that best describes the current status)
Revitalization Plan under development
Revitalization Plan submitted, pending approval
Revitalization Plan approved
☒ Activities pursuant to an approved Revitalization Plan underway

1. Development name: Dalton Village
2. Development (project) number: 3-9
3. Status of grant: (select the statement that best describes the current status)
Revitalization Plan under development
Revitalization Plan submitted, pending approval
☒ Revitalization Plan approved
Activities pursuant to an approved Revitalization Plan underway

1. Development name: Fairview Homes
2. Development (project) number: 3-2
3. Status of grant: (select the statement that best describes the current status)
Revitalization Plan under development
☒ Revitalization Plan submitted, pending approval
Revitalization Plan approved
Activities pursuant to an approved Revitalization Plan underway

____ Yes ☒ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

☒ Yes ____ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

Dalton Village
Fairview Homes

☒ Yes ____ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

Replacement units for units lost through HOPE VI revitalization at Dalton

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☒ Yes ☐ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

☐ Yes ☒ No: Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Live Oak 1b. Development (project) number: 3-215
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition
3. Application status (select one) <input type="checkbox"/> Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: (06/10/99)
5. Number of units affected: Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: June 1, 2000 b. Projected end date of activity: July 1, 2000

Demolition/Disposition Activity Description
1a. Development name: Dillehay Courts 1b. Development (project) number: 3-12
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/>

3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: <u>06/10/99</u>
5. Number of units affected: 4
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development Total development
7. Timeline for activity: a. Actual or projected start date of activity: May 1, 2000 b. Projected end date of activity: July 1, 2000

Demolition/Disposition Activity Description
1a. Development name: First Ward Place (formerly: Earle Village)
1b. Development (project) number: 3-5
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: <u>06/10/99</u>
5. Number of units affected: None
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development Total development
7. Timeline for activity: a. Actual or projected start date of activity: January 1, 2001 b. Projected end date of activity: March 31, 2001

Demolition/Disposition Activity Description
1a. Development name: Dalton Village
1b. Development (project) number: 3-9
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved Submitted, pending approval Planned application <input checked="" type="checkbox"/>

4. Date application approved, submitted, or planned for submission: 01/06/2000
5. Number of units affected: 50
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development Total development
7. Timeline for activity: a. Actual or projected start date of activity: August 1, 2000 b. Projected end date of activity: December 1, 2000

Demolition/Disposition Activity Description
1a. Development name: Fairview Homes
1b. Development (project) number: 3-2
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition
3. Application status (select one) Approved <input checked="" type="checkbox"/> Submitted, pending approval Planned application
4. Date application approved, submitted, or planned for submission: 06/25/98
5. Number of units affected: 410
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: December 1, 1999 b. Projected end date of activity: July 1, 2000

Demolition/Disposition Activity Description
1a. Development name: Fairview Homes
1b. Development (project) number: 3-2
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved Submitted, pending approval Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>01/04/2000</u>

5. Number of units affected: None
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total of development
7. Timeline for activity: a. Actual or projected start date of activity: July 1, 2000 b. Projected end date of activity: December 1, 2000

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (I)]

Exemptions from Component 9: Section 8 only PHAs are not required to complete this section.

1. ☒ Yes ☐ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<u>Designation of Public Housing Activity Description</u>
1a. Development name:
1b. Development (project) number:
2. Designation type: <input type="checkbox"/> <u>Occupancy by only the elderly</u> <input type="checkbox"/> <u>Occupancy by families with disabilities</u> <input type="checkbox"/> <u>Occupancy by only elderly families and families with disabilities</u>

3. Application status (select one) <input type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
1. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ☐ Yes ☒ No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

____ Yes ____ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
____	Assessment underway
____	Assessment results submitted to HUD
____	Assessment results approved by HUD (if marked, proceed to next question)
____	Other (explain below)
3. ____ Yes ____ No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
____	Conversion Plan in development
____	Conversion Plan submitted to HUD on: (DD/MM/YYYY)
____	Conversion Plan approved by HUD on: (DD/MM/YYYY)
____	Activities pursuant to HUD-approved Conversion Plan underway

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)
- _____ Units addressed in a pending or approved demolition application (date submitted or approved:
- _____ Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____)
- _____ Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____)
- _____ Requirements no longer applicable: vacancy rates are less than 10 percent
- _____ Requirements no longer applicable: site now has less than 300 units
- _____ Other: (describe below)

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Home ownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. X Yes _____ No: Does the PHA administer any home ownership programs administered by the PHA under an approved section 5(h) home ownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any home ownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)
2. Activity Description
 _____ Yes _____ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Home ownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA's Home ownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Home ownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Home ownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

☐ Yes ☐ No: Will the PHA limit the number of families participating in the section 8 home ownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- ☐ 25 or fewer participants
☐ 26 - 50 participants
☐ 51 to 100 participants

_____ more than 100 participants

b. PHA-established eligibility criteria

_____ Yes _____ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Home ownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self-Sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

_____ Yes _____ No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- _____ Client referrals
- _____ Information sharing regarding mutual clients (for rent determinations and otherwise)
- _____ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- _____ Jointly administer programs
- _____ Partner to administer a HUD Welfare-to-Work voucher program
- _____ Joint administration of other demonstration program
- _____ Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- _____ Public housing rent determination policies
- _____ Public housing admissions policies
- _____ Section 8 admissions policies
- _____ Preference in admission to section 8 for certain public housing families

- ____ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- ____ Preference/eligibility for public housing home ownership option participation
- ____ Preference/eligibility for section 8 home ownership option participation
- ____ Other policies (list below)

b. Economic and Social self-sufficiency programs

____ Yes ____ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

b. ____ Yes ____ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA

plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- _____ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- _____ Informing residents of new policy on admission and reexamination
- _____ Actively notifying residents of new policy at times in addition to admission and reexamination.
- _____ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- _____ Establishing a protocol for exchange of information with all appropriate TANF agencies
- _____ Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12© of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- _____ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- _____ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- _____ Residents fearful for their safety and/or the safety of their children
- _____ Observed lower-level crime, vandalism and/or graffiti
- _____ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- _____ Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve

safety of residents (select all that apply).

- ☐ Safety and security survey of residents
- ☐ Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- ☐ Analysis of cost trends over time for repair of vandalism and removal of graffiti
- ☐ Resident reports
- ☐ PHA employee reports
- ☐ Police reports
- ☐ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- ☐ Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- ☐ Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- ☐ Crime Prevention Through Environmental Design
- ☐ Activities targeted to at-risk youth, adults, or seniors
- ☐ Volunteer Resident Patrol/Block Watchers Program
- ☐ Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- ☐ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- ☐ Police provide crime data to housing authority staff for analysis and action
- ☐ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- ☐ Police regularly testify in and otherwise support eviction cases
- ☐ Police regularly meet with the PHA management and residents
- ☐ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- ☐ Other activities (list below)

2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

X Yes ___ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

X Yes ___ No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?

X Yes ___ No: This PHDEP Plan is an Attachment. (Attachment Filename: (NC003e01)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. X Yes ___ No: Is the PHA required to have an audit conducted under section

5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?

(If no, skip to component 17.)

2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?

3. ☐ Yes ☒ No: Were there any findings as the result of that audit?

4. ____ Yes ____ No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? ____
5. ____ Yes ____ No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component.
High performing and small PHAs are not required to complete this component.

1. ____ Yes ____ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
- ____ Not applicable
- ____ Private management
- ____ Development-based accounting
- ____ Comprehensive stock assessment
- ____ Other: (list below)
3. ____ Yes ____ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. ____ Yes X No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- ____ Attached at Attachment (File name)
- ____ Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
- ____ Considered comments, but determined that no changes to the PHA Plan were necessary.
- ____ The PHA changed portions of the PHA Plan in response to comments
- ____ List changes below:

____ Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. ____ Yes X No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. ____ Yes X No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- ____ Candidates were nominated by resident and assisted family organizations
- ____ Candidates could be nominated by any adult recipient of PHA assistance
- ____ Self-nomination: Candidates registered with the PHA and requested a place on ballot
- ____ Other: (describe)

b. Eligible candidates: (select one)

- ____ Any recipient of PHA assistance
- ____ Any head of household receiving PHA assistance
- ____ Any adult recipient of PHA assistance
- ____ Any adult member of a resident or assisted family organization
- ____ Other (list)

c. Eligible voters: (select all that apply)

- ____ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- ____ Representatives of all PHA resident and assisted family organizations
- ____ Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)
Charlotte, NC

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

X The PHA has based its statement of needs of families in the jurisdiction on the needs

expressed in the Consolidated Plan/s.

X The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.

_____ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.

_____ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

_____ Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The City of Charlotte, NC FY2000 Consolidated Action Plan supports the PHA Plan by using federal and local funds to address the needs of homeless, low and moderate income families/individuals. The keys to implementing housing strategies are to develop partnerships with non-profits and for-profit entities, leveraging federal dollars and building the capacity of communities. Partnerships are important because everyone has a vested interest in the success of the community. The City, like the PHA, will continue to create and build a cooperative environment between the various institutional structures and intergovernmental agencies to create affordable housing opportunities.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Criteria for determining substantial deviation from Five Year Plan and significant amendment or modification to Five Year and Annual Plans:

Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners and the public comment process.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and II

Annual Statement		
Capital Fund Program (CFP) Part I: Summary		
Capital Fund Grant Number	FFY of Grant Approval: <u>(MM/YYYY)</u>	
Original Annual Statement		

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement			
Capital Fund			
Program (CFP)			
Part II:			
Supporting Table			
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

Annual Statement Capital Fund Program (CFP) Part III: Implementation Schedule		
Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)

5-Year Action Plan for Capital Fund (Component 7)

Complete a table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because it is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development

Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years				

Public Housing Asset Management Table

1 Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management

[illegible]

File No: 2577-0226
03/31/2002

ANNUAL STATEMENT/ PERFORMANCE AND EVALUATION REPORT

Part I: Summary

YEAR 1

U. S. Department of Housing

and Urban Development

Office of Public and Indian Housing

Comprehensive Grant Program (CGP)

HA Name HOUSING AUTHORITY OF THE CITY OF CHARLOTTE	Comprehensive Grant Number NC19P003711	FFY of Grant Approval 20000
--	--	---------------------------------------

/ X / Orig. Annual Statement / / Reserve for Disasters/Emergencies / / Rev. Annual Statement/Rev. No. ____ / / Performance and Evaluation Report for Program Yr Ending ____
/ / Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	TOTAL NON-CGP FUNDS	0			
2	1406 OPERATIONS (May not exceed 10% of line 19)	404,000			
3	1408 MANAGEMENT IMPROVEMENTS	700,796			
4	1410 ADMINISTRATION	559,121			
5	1411 AUDIT	1,000			
6	1415 LIQUIDATED DAMAGES	0			
7	1430 FEES AND COSTS	180,000			
8	1440 SITE ACQUISITION	0			
9	1450 SITE IMPROVEMENTS	77,000			
10	1460 DWELLING STRUCTURES	2,581,200			
11	1465.1 DWELLING EQUIPMENT-NONEXPENDABLE	60,500			
12	1470 NONDWELLING STRUCTURES	0			
13	1475 NONDWELLING EQUIPMENT	95,000			
14	1485 DEMOLITION	0			
15	1490 REPLACEMENT FOR RESERVE	0			
16	1495.1 RELOCATION COSTS	55,000			
17	1498 MOD USED FOR DEVELOPMENT	0			
18	1502 CONTINGENCY (may not exceed 8% of line 19)	100,000			
19	AMOUNT OF ANNUAL GRANT (SUM OF LINES 2-18)	4,813,617			
20	Amount of line 19 Related to LBP Activities	0			
21	Amount of line 19 Related to Section 504 Compliance	25,000			
22	Amount of line 19 Related to Security	0			
23	Amount of line 19 Related to Energy Conservation Measures				

Signature of President/CEO and Date

Signature of Public Housing Director/Office of Native American
Programs Administrator and Date

Page ____ of ____

Form HUD-52837(10/96)

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

(2) To be completed for the Performance and Evaluation Report

FIVE-YEAR ACTION PLAN

Part I: Summary
Comprehensive Grant Program (CGP)

U. S. Department of Housing
and Urban Development
Office of Public and Indian Housing

NC19P003711		FFY: 2000				
PHABNA NAME		Locality (City/County & State)			X Original Revision No.	
HOUSING AUTHORITY OF THE CITY OF CHARLOTTE		CHARLOTTEMECKLENBURGNORTH CAROLINA				
A. DEVELOPMENT NAME/NUMBER		YEAR 1 FFY: 2000	YEAR 2 FFY: 2001	YEAR 3 FFY: 2002	YEAR 4 FFY: 2003	YEAR 5 FFY: 2004
PHYSICAL IMPROVEMENTS				688,950	148,900	
NC19P00007 FREEMONT COURTS			1,695,000	567,000		
NC19P000083 SOUTHSIDE HOMES					1,225,350	
NC19P000094 BELVEDERE HOMES				619,275		
NC19P000096 EDWIN TOWERS						
NC19P000097 ST BANA APARTMENTS			123,100			1,149,190
NC19P0001011 BOULEVARD HOMES						305,000
NC19P0001012 DILLEY COURT						
NC19P0001013 LEAF CREST			167,040			148,268
NC19P0001014 CEDAR KNOLL			170,520			144,044
NC19P0001017 MEADOW OAKS			111,360			
NC19P0001017 SUNDRIIDGE			153,120			
NC19P0001018 CHARLOTTE TOWN TERRACE			72,826	674,525		
NC19P0001019 PARKTOWNE TERRACE					580,640	
NC19P0001020 TALL OAKS					62,225	
NC19P0001021 SAVANNA WOODS						380,962
NC19P0001021 MALLARD RIDGE			121,800		151,271	
NC19P0001021 LIVE OAK						
NC19P0001022 HALL HOUSE				28,500	324,400	
NC19P0001023 TABLTON HILLS				51,956	46,550	
NC19P0001024 ROBINSDALE			29,430			
NC19P0001025 GLADEDALE						390,066
NC19P0001026 WALLACE WOODS			18,420	25,500		
NC19P0001029 CLAREMONT			72,750	75,000		
NC19P0001029 VICTORIA SQUARE			62,185	48,000		
PHA WIDE SECURITY			5,000	2,500	7,500	6,500
PHA WIDE S/M MODIFICATIONS			5,000	5,000	7,500	7,000
PHA WIDE LRP ABATEMENT						
B. Physical Improvements Subtotal			2,807,551	2,786,200	2,554,330	2,531,030
C. Management Improvements			745,769	776,060	776,060	804,442
D. PHA-wide Nonresidential Structures & Equip			65,000	60,000	210,000	90,000
E. Administration			586,797	611,851	662,222	687,145
F. Other (C.Bla. 1411, 1410, 1495, 1560)			608,500	579,500	611,000	701,000
G. Budget/Reserve					0	0
H. Total CGP Funds			4,813,617	4,813,617	4,813,617	4,813,617
I. Total Non-CGP Funds			0	0	0	0
J. Grand Total			4,813,617	4,813,617	4,813,617	4,813,617
Signature of President/CEO		Date	Signature of Field Office Manager (Regional Admin. in co-located office)		Date	

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

A. Amount of PHDEP Grant \$ 773,751

B. Eligibility type (Indicate with an "x") N1 _____ N2 _____ R x _____

FFY in which funding is requested 2000

C. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Charlotte Housing Authority (CHA) is submitting this plan for the FY 2000 Public and Indian Housing Drug Elimination Program (PHDEP) funding to continue the "Drug Abuse Treatment, Outreach and Prevention Program (D.A.T.O.P)." The PHDEP is designed for use in reducing/eliminating drug-related crime and other Part I and Part II crimes in and around low-income, public and Indian housing developments. Furthermore the funds will be used for enhancing security within developments, implementing prevention, intervention, and treatment programs to stop drug use in public housing communities. The funds will allow CHA to leverage other resources, which focus on violent and drug-related crime with public housing. We are also introducing the Creative Wellness Project in our communities to promote health and wellness in our intervention program. The program described throughout the plan represent the best strategy to reduce/eliminated drug-related crime and other Part I and Part II crimes in our communities.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Belvedere Homes	190	189
Southside Homes	400	375
Strawn Apartment	318	316
Boulevard Homes	300	299
Hall House	191	189
Parktowne Terrace	165	164
Piedmont Courts	242	236
Charlottetown Terrace	180	177
Dillehay Courts	136	130
Edwin Towers	175	170

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

6 Months _____ 12 Months _____ 18 Months _____ 24 Months X Other _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995	\$978,726,000	NC19DEP0030195	-0-	GE	9/98
FY 1996	\$980,440,000	NC19DEP0030196	\$194,393.00	GE	1/00
FY 1997	\$911,564,000	NC19DEP0030197	\$604,639.79		12/00
FY1998	\$955,240,000	NC19DEP0030198	\$918,429.00		12/00
FY 1999	\$773,751,000	NC19DEP0030199	\$773,751.00		

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The goals of the CHA’s comprehensive drug elimination strategy are to reduce/eliminate drug related crime and other Part I and Part II crimes and increase the quality of life for residents in our communities and surrounding neighborhoods. The CHA will seek to enhance security within the developments, implement crime and drug prevention efforts, provide intervention and treatment programs to help curtail the use of drugs in 27 housing communities with a focus on the following communities: Belvedere, Boulevard Homes, Charlottetown Terrace, Dillehay Courts, Edwin Towers, Hall House, Parktowne Terrace, Southside Homes, Strawn Apartments. We will implement the Creative Wellness Project to help individuals make new healthy lifestyle choices, cope better with the stress of their daily lives, prevent or eliminate destructive behaviors, and have more energy and self-esteem. Also, through this grant youth sports activities will also be offered in an effort to provide life skills, goal planning, leadership, cultural and recreational activities to educate and enable youth to reject illegal drugs. The PHDEP funds will allow CHA to leverage other resources and work in collaboration with local municipal police departments and other law enforcement agencies, local social and religious organizations and other public and private nonprofit organizations who provide community wide services to offer substance abuse prevention, intervention, treatment, aftercare, education, assessment and referral services for residents of public housing. Also contained in this application is One Strike and You’re Out activities that are designed to insure that the broadest range of tools exist for making and maintaining a safe community. It is projected that over 3,500 households will be impacted by the PHDEP grant. Also note that salaries are included in each departmental activity reflected in section C.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY _2000___ PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	-0-
9120 - Security Personnel	109,186
9130 - Employment of Investigators	97,550
9140 - Voluntary Tenant Patrol	-0-
9150 - Physical Improvements	-0-
9160 - Drug Prevention	142,302
9170 - Drug Intervention	118,167
9180 - Drug Treatment	171,077
9190 - Other Program Costs	135,469
TOTAL PHDEP FUNDING	773,751

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement					Total PHDEP Funding: \$ -0-		
Goal(s)	Provide trainings to acclimate police officers to CHA policies and procedures						
Objectives	100 police officers are authorized agents of CHA						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHEDep Funding	Other Funding (Amount/ Source)	Performance Indicators
			1/2001	12/2003		2,346,626	

9120 - Security Personnel					Total PHDEP Funding: \$ 109,186		
Goal(s)	To provide specialized community crime prevention programs to CHA communities						
Objectives	To prevent crime in public housing neighborhoods						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.Conduct and Coordinate Crime Prevention Workshops for residents			8/00	12/2003	\$37,296	203,070	100% of all focus sites will have at least 2 workshops a year
2. Conduct Conference Agreements with repeated victims of Domestic Violence.			1/2001	12/2003	35,500		65% of all victims of Domestic Violence will either attend a DV Workshop or receive pre-counseling through conference agreements
3. Conduct crime prevention workshops which address victimization			1/2001	12/2003	36,390		100% of all focus sites will have annual victimization workshops

9130 - Employment of Investigators					Total PHDEP Funding: \$ 97,550		
Goal(s)	Conduct investigations of Part I offenses, complaints and referrals						
Objectives	A 10% decrease in Part I offenses will be realized						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Send lease violations to residents who violate their lease. (One Strike and You're Out)			1/2001	12/2003	\$28,574		75% of all residents in focus sites who have police reports filed against them that reflect a violation of their lease will receive a lease violation.
2. Assist Police Officers and CHA Managers in maintaining a working relationship			1/2001	12/2003	\$28,574		100% of the focus sites will have a Coordinator or a contact officer for their site.
3. Review the security component of each site's annual plan and create a complimentary plan to implement a program which best suits the community			1/2001	12/2003	\$40,402		100% of focus sites will have a plan

9140 - Voluntary Tenant Patrol					Total PHDEP Funding: \$ -0-		
Goal(s)	Train residents to take an active role in crime prevention.						
Objectives	Three sites will have						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9150 - Physical Improvements					Total PHDEP Funding: \$ -0-		
Goal(s)							
Objectives							
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9160 - Drug Prevention					Total PHDEP Funding: \$ 142,302		
Goal(s)	To provide educational opportunities: family and other support services: youth services and economic educational opportunities for resident adult and youth activities						
Objectives	75% of residents in focus sites will receive supportive prevention services						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHEDep Funding	Other Funding (Amount /Source)	Performance Indicators
1.To increase collaboration with CHA contractors by assisting them in identifying residents for employment	2,500	Targeted sites	1/2001	1/2003	\$23,800	69,700	Placement of 25% of residents in sustainable employment with contractors
2.Youth Sports Program: Basketball, Soccer, Tennis, and Martial Arts (Sports programs will provide youth options for participation and use of their idle time	1,500	Targeted sites	1/2001	12/2003	\$70,416	252.202	To serve 8 focus CHA communities through youth sports
3. To Strengthen the current Youth Leadership Advisory Councils and increase participation in other youth councils activities	1,200	Targeted sites	1/2001	12/2003	48,086	56,518	To increase the number of participating students by ten percent

9170 - Drug Intervention					Total PHDEP Funding: \$118,167		
Goal(s)	To identify residents with substance abuse issues and assist them in modifying their behavior						
Objectives	Decrease in drug use by 20%						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.Creative Wellness Project will be conducted in targeted sites and follow up monthly sessions will be held in each site	579	Targeted sites	1/2001	12/2003 (On-going)	15,000	10,000	25% of residents will receive training and show marked improvement in managing stress and health issues.
2.Increase outreach in all CHA communities through workshops on self-esteem, anger management, substance abuse and refusal/restraint training	12,000	27 CHA properties	1/2001	12/2003 (On-going)	76,320		Decrease in drug use by 50%
3.Increase intensive home visits and referral efforts	2,317		1/2001	12/2003	26,847		Drop out and recidivism rates will reduce by 10%

9180 - Drug Treatment					Total PHDEP Funding: \$171,077		
Goal(s)	To provide residents of public housing affected by the disease of addiction an opportunity to begin the process of recovery through a comprehensive culturally sensitive community –based program						
Objectives	Decrease drug use in targeted communities through a continuum of care by 25%						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.Group, Individual, family and youth counseling groups are provided daily	350	27 CHA communities	1/2001	12/2003 On-going	\$77,950	150,000 (In-kind)	Increase recovery rate by 25% and increase knowledge of substance abuse issues by 30% Youth violence, substance abuse and truancy will decrease by 10%
2.Providing aftercare, multi-family groups and educational groups	1,500	Targeted sites	1/2001	12/2003	\$32,828		Drop out and recidivism will reduce by 10% and family knowledge of the disease of addiction will increase by 50%
3. Workshops on substance abuse in each community and establish goals for each community served	2,317	10 Targeted sits	1/2001	12/2003	\$60,299		90% of all communities will have a workshop addressing substance abuse and its dangers

9190 - Other Program Costs					Total PHDEP Funds: \$135,469		
Goal(s)	To identify potential drug related issues and problems and develop strategies for reducing risks to residents						
Objectives	To ensure that each PHDEP program has clear and specific outcome-based measures and a written plan detailing data collection, analysis and reporting to ensure continuous improvement and accountability of programs.						
Proposed Activities	# Of Person s Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.Planning, developing and monitoring program performance of DEP programs			1/2001	12/2003	\$67,666	16,500	Effective drug-related crime elimination programs for 100% of residents in targeted sites
2.Evaluate the effectiveness of PHDEP programs			1/2001	12/2003	54,000		Documented Quantitative and Qualitative Reports
3.Evaluation Surveys			1/2001	12/2003	13,803		Documented evaluation of effectiveness of PHDEP programs

Section 3: Expenditure/Obligation Milestones

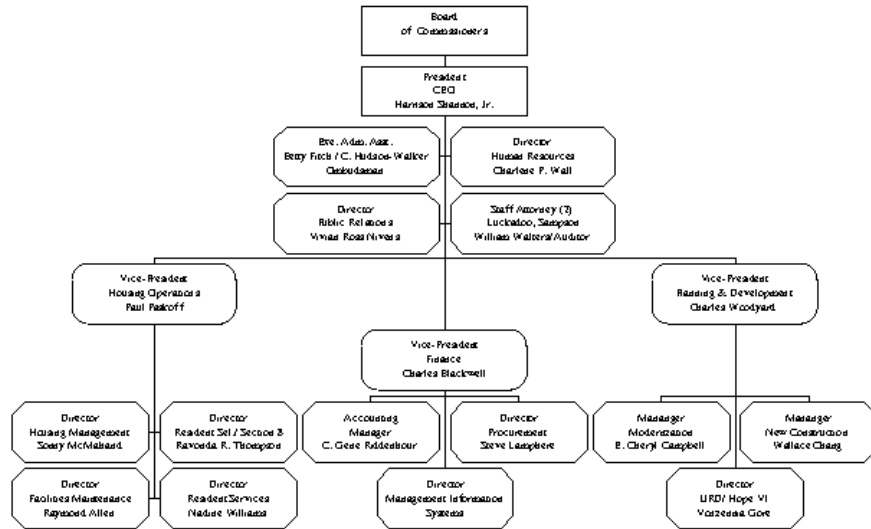
Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110				
9120	Activities 1, 2, 3	\$ 59,918.00	Activities 1, 2, 3	\$109,186.00
9130	Activities 1, 2, 3	\$ 45,203.00	Activities 1, 2, 3	\$ 68,976.00
9140				
9150				
9160	Activities 1, 2, 3	\$ 67,963.00	Activities 1, 2, 3	\$142,302.00
9170	Activities 1, 2, 3	\$ 42,833.00	Activities 1, 2, 3	\$118,167.00
9180	Activities 1, 2, 3	\$ 85,539.00	Activities 1, 2, 3	\$171,077.00
9190	Activities 1, 2, 3	\$ 67,735.00	Activities 1, 2, 3	\$135,469.00
TOTAL		\$369,191.00		\$745,177.00

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

Housing Authority of the City of Charlotte Functional Organizational Chart



**ANNUAL STATEMENT/PERFORMANCE
AND EVALUATION REPORT**

**Part II: Supporting Pages
Comprehensive Grant Program (CGP)**

**FFY 2000
NC19P003711**

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF	DEV.	QUANTITY	TOTAL ESTIMATED COST		TOTAL ACTUAL COST		STATUS OF PROPOSED WORK (2)
				ORIGINAL	REVISED(1)	FUNDS OBLIGATED(2)	FUNDS EXPENDED(2)	
HA-WIDE ACTIVITIES	MAJOR WORK CATEGORIES	ACCT. #						
NC3-1 PIEDMONT COURTS	REROOF & ADD VENTILATION	1460	1650 SQ.	247,500				
	PAINT INTERIOR OF UNITS	1460	242	181,500				
	REPLACE RANGES	1465	242	60,500				
	TERMITE TREATMENT	1460	242	48,400				
	SUBTOTAL			537,900				
NC3-3 SOUTHSIDE	COMPLETE INTERIOR RENOVATION	1460	110	1,705,000				
	RELOCATION	1495.01	110	55,000				
	SITE RENOVATION (WALKS, LANDSCAPE, ETC.)	1450	Lump	40,000				
	SUBTOTAL			1,800,000				
NC3-11 BOULEVARD HOMES	REROOF APPROX. 75% OF SITE	1460	2340 SQ	304,200				
	REPLACE PROJECT SIGNAGE	1450	1	1,200				
	SUBTOTAL			305,400				
NC3-12 DILLEHAY	REPLACE PROJECT SIGNAGE	1450	1	1,200				
	SITE RENOVATION (WALKS, LANDSCAPE, ETC.)	1450		25,000				
	SUBTOTAL			26,200				
NC3-20 TALL OAKS	REPLACE PROJECT SIGNAGE	1450	1	1,200				
	SUBTOTAL			1,200				
NC3-21M SAVANNA WOODS	REPLACE PROJECT SIGNAGE	1450	1	1,200				
	SUBTOTAL			1,200				
NC3-21P MALLARD RIDGE	REPLACE PROJECT SIGNAGE	1450	1	1,200				
	SUBTOTAL			1,200				
NC3-21S LIVE OAK	REPLACE PROJECT SIGNAGE	1450	1	1,200				
	SUBTOTAL			1,200				
NC3-23 TARTLTON HILLS	REPLACE PROJECT SIGNAGE	1450	1	1,200				
	SUBTOTAL			1,200				
NC3-24 ROBINSDALE	REPLACE PROJECT SIGNAGE	1450	1	1,200				
	SUBTOTAL			1,200				
NC3-25 GLADEDALE	REPLACE PROJECT SIGNAGE	1450	1	1,200				
	SUBTOTAL			1,200				
NC3-24 WALLACE WOODS	REPLACE PROJECT SIGNAGE	1450	1	1,200				
	EXTERIOR BREEZEWAY RENOVATIONS	1460	1	60,000				
	TERMITE TREATMENT	1460	48	9,600				
	SUBTOTAL			70,800				
Signature of President/CEO and Date		Signature of Public Housing Director/Office of Native American Programs Administrator and Date						
		^						
Page ____ of ____ Form HUD-52837(10/96)								

**ANNUAL STATEMENT/PERFORMANCE
AND EVALUATION REPORT**
Part II: Supporting Pages
Comprehensive Grant Program (CGP)

**FFY 2000
NC19P003711**

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF	DEV.	QUANTITY	TOTAL ESTIMATED COST		TOTAL ACTUAL COST		STATUS OF PROPOSED WORK (2)
				ORIGINAL	REVISED(1)	FUNDS	FUNDS	
HA-WIDE ACTIVITIES	MAJOR WORK CATEGORIES	ACCT. #				OBLIGATED(2)	EXPENDED(2)	
FEES & COSTS	JOC (2500/MONTH)	1430	1	30,000				
	A/E FOR SOUTHSIDE	1430	1	90,000				
	ENVIRONMENTAL CONSULTING	1430	1	60,000				
	SUBTOTAL	1430		180,000				
OPERATIONS	SUBTOTAL	1406		404,000				
MANAGEMENT	RESIDENT INITIATIVE							
IMPROVEMENTS 1	MAINTENANCE APPRENTICE PROGRAM	140805/09	LUMP	50,000				
	2 RESIDENT YOUTH PROG. & EQUIPMENT	140809	LUMP	10,000				
	3 RESIDENT INITIATIVE TRAINING (SEC 3)	140806	LUMP	5,000				
	AUTHORITY WIDE							
	4 MANAGEMENT IMPROVEMENT SALARIES	1408	LUMP	517,120				
	(YOUTH/ADULT CORDINATOR; SECTION 3 RECRUIT. CORD. INTERNAL AUDITOR; MIS DIRECTOR; MIS TECH. SUPPORT; HR MANAGER; HR ADM. ASSIST.; GRANTS WRITER; GRANTS ACCOUNTING; SUPPORT SERVICES TRAINING)							
	FRINGE BENEFITS	140819		113,676				
	5 COMPUTER TRAINING	140803		5,000				
	SUBTOTAL			700,796				
	TECHNICAL/NON TECHICAL SALARIES	1410		444,361				
PHA-WIDE	FRINGE BENEFITS	141009		97,760				
ADMINISTRATION	(MOD, JOC, % SALARY ALLOCATION OF ALL RELATING PERSON	1410						
COSTS	LEGAL EXPENSE	141014		1,000				
	TRAVEL	141010		4,000				
	PUBLISHING/PRINTING	141012/11		6,000				
	TELEPHONE	141016		2,500				
	SUNDRY (ADVERTISING)	141019		3,500				
	SUBTOTAL			559,121				
	CGP AUDIT	1411		1,000				
	SUBTOTAL			1,000				
AUDIT								

Signature of President/CEO and Date

Signature of Public Housing Director/Office of Native American
Programs Administrator and Date

ANNUAL STATEMENT/PERFORMANCE
AND EVALUATION REPORT
Part II: Supporting Pages
Comprehensive Grant Program (CGP)

FFY 2000
NC19P003711

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF	DEV.	QUANTITY	TOTAL ESTIMATED COST		TOTAL ACTUAL COST		STATUS OF PROPOSED WORK (2)
				ORIGINAL	REVISED(1)	FUNDS	FUNDS	
HA-WIDE ACTIVITIES	MAJOR WORK CATEGORIES	ACCT. #				OBLIGATED(2)	EXPENDED(2)	
NON-DWELLING EQUIP.	REPLACEMENT OF 3 MAINTENANCE VEHICLES	1475	3	90,000				
	PHA WIDE OFFICE FURNITURE	1475	1	5,000				
	SUBTOTAL			95,000				
PHA-WIDE	SECTION 504	1460	LUMP	25,000				
PHA-WIDE	CONTINGENCY	1502		100,000				
	SUBTOTAL			125,000				
				4,813,617				

Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Work Statement for Year 1 FFY 2000	Work Statement for Year 2 FFY: 2001			Work Statement for Year 3 FFY: 2002		
	General Description of Major Work Category	Quantity	Estimated Costs	General Description of Major Work Category	Quantity	Estimated Costs
SEE ANNUAL STATEMENT	NC3-3 SOUTHSIDE			NC3-1 PIEDMONT COURTS		
	COMPLETE INTERIOR RENOVATION	95	1,520,000	REPLACE WINDOWS	1531	421,025
	RELOCATION	95	47,500	INSTALL HEAVY DUTY SCREENS	1531	267,925
	SITE PAVING & DRAINAGE		175,000	SUBTOTAL		688,950
	SUBTOTAL		1,742,500			
	NC3-7 STRAWN			NC3-3 SOUTHSIDE		
	REROOF HIGHRISE	11600 SF	75,400	COMPLETE INTERIOR RENOVATION	27	432,000
	REGROUT/REPLACE SHOWER TILE	318	47,700	RELOCATION	27	13,500
	SUBTOTAL		123,100	SITE IMPROVEMENTS	Lump	135,000
				SUBTOTAL		580,500
	NC3-16A LEAFCREST			NC3-6 EDWIN TOWERS		
	PAINT INTERIORS	48 UNITS	37,440	REPLACE KITCHEN WALL CABINETS	1696 LF	93,280
	REPLACE BASEBOARD HEAT W/GAS	48 UNITS	129,600	REPLACE BASE CABINETS & COUNTERTOP	1841 LF	156,485
	SUBTOTAL		167,040	REPLACE KITCHEN SINK	175	43,750
				REPLACE WINDOWS & WINDOW TREATMENT	384	101,760
	NC3-16N CEDAR KNOLL			PAINT INTERIOR OF UNITS	175	157,500
	PAINT INTERIORS	49 UNITS	38,220	REGROUT/REPLACE BATH WALL TILE	190	28,500
	REPLACE BASEBOARD HEAT W/GAS	49 UNITS	132,300	REPLACE HVAC UNITS	40	38,000
	SUBTOTAL		170,520	SUBTOTAL		619,275
	NC3-18 CHARLOTTETOWN			NC3-18 CHARLOTTETOWN		
	REROOF	11204	72,826	REGROUT/REPLACE BATH WALL TILE	180	27,000
	SUBTOTAL		72,826	REPLACE KITCHEN WALL CABINETS	2073 LF	114,015
				REPLACE BASE CABINETS & COUNTERTOP	1792 LF	152,320
	NC3-17F MEADOW OAKS			REPLACE WINDOWS & WINDOW TREATMENT	446	118,190
	PAINT INTERIORS	32 UNITS	24,960	REPLACE KITCHEN SINK	180	45,000
REPLACE BASEBOARD HEAT W/GAS	32 UNITS	86,400	REPLACE WATER HEATER	180	36,000	
SUBTOTAL		111,360	REPLACE HVAC UNITS	40	38,000	
			PAINT INTERIOR OF UNITS	180	144,000	
NC3-17M SUNRIDGE			SUBTOTAL		674,525	
PAINT INTERIORS	44 UNITS	34,320				
REPLACE BASEBOARD HEAT W/GAS	44 UNITS	118,800				
SUBTOTAL		153,120				
NC3-21P MALLARD RIDGE						
PAINT INTERIORS	35 UNITS	27,300				
REPLACE BASEBOARD HEAT W/GAS	35 UNITS	94,500				
SUBTOTAL		121,800				

Comprehensive Grant Program (CGP)

NC19P003711
FFY 2000

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

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FIVE YEAR ACTION PLAN

Part III: Management Needs Work Statement

NC19P003711
FFY 2000

Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Work Statement for Year 1 FFY 2000	Work Statement for Year 2 FFY: 2001			Work Statement for Year 3 FFY: 2002		
	General Description of Major Work Category	Quantity	Estimated Costs	General Description of Major Work Category	Quantity	Estimated Costs
SEE ANNUAL STATEMENT	MANAGEMENT IMPROVEMENTS: RESIDENT INITIATIVE:			MANAGEMENT IMPROVEMENTS: RESIDENT INITIATIVE:		
	MAINT. APPRENTICE PROG.	LUMP	40,000	MAINT. APPRENTICE PROG.	LUMP	40,000
	RESIDENT ORGANIZATION FACILITIES	LUMP	2,000	RESIDENT ORGANIZATION FACILITIES	LUMP	2,000
	RESIDENT ORGANIZATION TRAINING	LUMP	2,000	RESIDENT ORGANIZATION TRAINING	LUMP	2,000
	RESIDENT YOUTH PROGRAM & EQUIP	LUMP	5,000	RESIDENT YOUTH PROGRAM & EQUIP	LUMP	7,000
	RESIDENT INITIATIVE TRAINING (SEC 3)	LUMP	3,000	RESIDENT INITIATIVE TRAINING (SEC 3)	LUMP	2,500
	AUTHORITY WIDE:			AUTHORITY WIDE:		
	PHA-WIDE PC SOFTWARE	LUMP	5,000	PHA-WIDE PC SOFTWARE	LUMP	5,000
	PHA-WIDE COMPUTER TRAINING	25 SLOTS	2,500	PHA-WIDE COMPUTER TRAINING	50 SLOTS	5,000
	MANAGEMENT IMPROVEMENT SALARIES (YOUTH/ADULT COORDINATOR; SECTION 3 RECRUIT. CORD. INTERNAL AUDITOR; MIS DIRECTOR; MIS TECH. SUPPORT; HR MANAGER; HR ADM. ASSIST.; GRANTS WRITER; GRANTS ACCOUNTING; SUPPORT SERVICES TRAINING) FRINGE BENEFITS	LUMP	537,805	MANAGEMENT IMPROVEMENT SALARIES (YOUTH/ADULT COORDINATOR; SECTION 3 RECRUIT. CORD. INTERNAL AUDITOR; MIS DIRECTOR; MIS TECH. SUPPORT; HR MANAGER; HR ADM. ASSIST.; GRANTS WRITER; GRANTS ACCOUNTING; SUPPORT SERVICES TRAINING) FRINGE BENEFITS	LUMP	559,317
			118,223			122,952
	Subtotal of Estimated Costs		745,769	Subtotal of Estimated Costs		776,060

FIVE YEAR ACTION PLAN

Part III: Administration, Non-dwelling Equipment, Fees, PHA -Wide & Contingency

NC19P003711
FFY 2000

Comprehensive Grant Program (CGP)

U.S. Department of Housing

and Urban Development

Office of Public and Indian Housing

Work Statement for Year 1 FFY 2000	Work Statement for Year 2 FFY: 2001			Work Statement for Year 3 FFY: 2002		
	General Description of Major Work Category	Quantity	Estimated Costs	General Description of Major Work Category	Quantity	Estimated Costs
SEE ANNUAL STATEMENT	TECHNICAL/NON-TECHNICAL SALARIES	7	462,135	MODERNIZATION STAFF & JOC PERSONNEL	7	480,621
	BENEFITS	7	101,670	BENEFITS	7	105,737
	LEGAL, TRAVEL, PRINTING, PHONE, ADS	LUMP	22,991	LEGAL, TRAVEL, PRINTING, PHONE, ADS	LUMP	25,493
	SUBTOTAL		586,797	SUBTOTAL		611,851
	OPERATIONS	1	400,000	OPERATIONS	1	400,000
	AUDIT	1	1,000	AUDIT	1	1,000
	NON-DWELLING EQUIPMENT			NON-DWELLING EQUIPMENT		
	PHA-WIDE COMPUTER UPGRADES	LUMP	15,000			
	2 MAINTENANCE VEHICLES	2	50,000	MAINTENANCE VEHICLES	2	60,000
	SUBTOTAL		65,000	SUBTOTAL		60,000
	FEES:			FEES:		
	JOC	1	30,000	JOC	1	30,000
	ENVIRONMENTAL CONSULTING	1	30,000	ENVIRONMENTAL CONSULTING	1	25,000
	SUBTOTAL		60,000	SUBTOTAL		75,000
	PHA WIDE:			PHA WIDE:		
	SECTION 504		5,000	SECTION 504		5,000
	SECURITY		5,000	SECURITY		2,500
	CONTINGENCY		100,000	CONTINGENCY		90,000
	SUBTOTAL		110,000	SUBTOTAL		97,500
	Subtotal of Estimated Costs		4,813,617	Subtotal of Estimated Costs		4,813,617

FIVE YEAR ACTION PLAN

Part II: Supporting Pages

Physical Needs

Comprehensive Grant Program (CGP)

NC19P003711
FFY 2000

U.S. Department of Housing

and Urban Development

Office of Public and Indian Housing

Work Statement for Year 4 FFY: 2003			Work Statement for Year 5 FFY: 2004		
General Description of Major Work Category	Quantity	Estimated Cost	General Description of Major Work Category	Quantity	Estimated Costs
NC3-1 PIEDMONT COURTS			NC3-7 STRAWN		
INSTALL STEEL SCREEN DOORS	484	108,900	REPLACE KITCHEN WALL CABINETS	4330 LF	238,150.00
SITE IMPROVEMENTS		40,000	REPLACE BASE CABINETS & COUNTERTOP	3864 LF	328,440.00
SUBTOTAL		148,900	REPLACE KITCHEN SINK	318	79,500.00
NC3-4 BELVEDERE HOMES			REPLACE WINDOWS & WINDOW TREATMENT	540	143,100.00
REPLACE HOT WATER HEATERS	170	51,000	REPLACE WATER HEATER	318	63,600.00
REPLACE WALL CABINETS	1944	106,920	PAINT INTERIOR OF UNITS	318	254,400.00
REPLACE BASE CABINETS & COUNTERTOP	858	72,930	REPLACE HVAC UNITS	40	42,000.00
REPLACE KITCHEN SINK	170	42,500	SUBTOTAL		1,149,190.00
RENOVATE BATHROOMS	170	357,000	NC3-11 BOULEVARD HOMES		
REPLACE FURNACES	170	425,000	LANDSCAPING & TREE TRIMMING		20,000.00
PAINT INTERIOR	170	170,000	PAINT INTERIORS	300 UNITS	285,000.00
SUBTOTAL		1,225,350	SUBTOTAL		305,000.00
NC3-19 PARKTOWNE			NC3-16A LEAFCREST		
REPLACE KITCHEN WALL CABINETS	1578 LF	86,790	LANDSCAPING & TREE TRIMMING	11.1 ACRES	35,000.00
REPLACE BASE CABINETS & COUNTERTOP	1578 LF	134,130	ASPHALT PARKING RESURFACING	7934 SY	95,208.00
REPLACE KITCHEN SINK	165	41,250	REPLACE HOT WATER HEATERS	49	14,700.00
REPLACE WINDOWS & WINDOW TREATME	398	105,470	REPLACE WINDOW TREATMENT	224	3,360.00
REPLACE WATER HEATER	165	33,000	SUBTOTAL		148,268.00
PAINT INTERIOR OF UNITS	165	107,250	NC3-16N CEDAR KNOLL		
REGROUT/REPLACE BATH WALL TILE	165	24,750	SITE IMPROVEMENTS	12.2ACRES	50,000.00
REPLACE HVAC UNITS	40	48,000	ASPHALT PARKING RESURFACING	6,332 SY	75,984.00
SUBTOTAL		580,640	REPLACE WATER HEATERS	49	14,700.00
NC3-20 TALL OAKS			REPLACE WINDOW TREATMENT	224	3,360.00
PAINT INTERIORS	79 UNITS	51,350	SUBTOTAL		144,044.00
REPLACE WINDOW TREATMENT	725	10,875	NC3-21M SAVANNA WOODS		
SUBTOTAL		62,225	LANDSCAPING	7.6 ACRES	20,000.00
			ASPHALT PARKING RESURFACING	7847 SY	94,164.00
			REPLACE WATER HEATER	49	14,700.00
			REPLACE FURNACE	49	73,500.00
			REPLACE WALL CABINETS	673 LF	53,840.00
			REPLACE BASE CABINETS	752 LF	64,672.00
			REPLACE COUNTERTOPS	752 LF	13,536.00
			PAINT INTERIORS	49 UNITS	46,550.00
			SUBTOTAL		380,962.00
Subtotal of Estimated Costs		2,017,115	Subtotal of Estimated Costs		2,127,404.00

Comprehensive Grant Program (CGP)

NC19P003711
FFY 2000

and Urban Development

Office of Public and Indian Housing

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FIVE YEAR ACTION PLAN

Part III: Management Needs Work Statement

**NC19P003711
FFY 2000**

U.S. Department of Housing

and Urban Development

Office of Public and Indian Housing

Comprehensive Grant Program (CGP)

Work Statement for Year 4 FFY: 2003			Work Statement for Year 5 FFY: 2004		
General Description of Major Work Category	Quantity	Estimated Cost	General Description of Major Work Category	Quantity	Estimated Costs
MANAGEMENT IMPROVEMENTS: RESIDENT INITIATIVE:			MANAGEMENT IMPROVEMENTS: RESIDENT INITIATIVE:		
MAINT. APPRENTICE PROG.	LUMP	40,000	MAINT. APPRENTICE PROG.	LUMP	40,000.00
RESIDENT ORGANIZATION FACILITIES	LUMP	2,000	RESIDENT ORGANIZATION FACILITIES	LUMP	2,000.00
RESIDENT ORGANIZATION TRAINING	LUMP	2,000	RESIDENT ORGANIZATION TRAINING	LUMP	2,000.00
RESIDENT YOUTH PROGRAM & EQUIP	LUMP	5,000	RESIDENT YOUTH PROGRAM & EQUIP	LUMP	5,000.00
RESIDENT INITIATIVE TRAINING (SEC 3)	LUMP	2,500	RESIDENT INITIATIVE TRAINING (SEC 3)	LUMP	2,500.00
AUTHORITY WIDE:			AUTHORITY WIDE:		
PHA-WIDE PC SOFTWARE	LUMP	10,000	PHA-WIDE PC SOFTWARE	LUMP	10,000.00
PHA-WIDE COMPUTER TRAINING	50 SLOTS	5,000	PHA-WIDE COMPUTER TRAINING	50 SLOTS	5,000.00
MANAGEMENT IMPROVEMENT SALARIES (YOUTH/ADULT COORDINATOR; SECTION 3 RECRUIT. CORD. INTERNAL AUDITOR; MIS DIRECTOR; MIS TECH. SUPPORT; HR MANAGER; HR ADM. ASSIST.; GRANTS WRITER; GRANTS ACCOUNTING; SUPPORT SERVICES TRAINING) FRINGE BENEFITS	LUMP	581,690	MANAGEMENT IMPROVEMENT SALARIES (YOUTH/ADULT COORDINATOR; SECTION 3 RECRUIT. CORD. INTERNAL AUDITOR; MIS DIRECTOR; MIS TECH. SUPPORT; HR MANAGER; HR ADM. ASSIST.; GRANTS WRITER; GRANTS ACCOUNTING; SUPPORT SERVICES TRAINING) FRINGE BENEFITS	LUMP	604,957.26
		127,870			132,984.84
Subtotal of Estimated Costs		776,060	Subtotal of Estimated Costs		804,442.10

FIVE YEAR ACTION PLAN

Part III: Administration, Non-dwelling Equipment, Fees, PHA -Wide & Contingency

NC19P003711

FFY 2000

Comprehensive Grant Program (CGP)

U.S. Department of Housing

and Urban Development

Office of Public and Indian Housing

Work Statement for Year 4 FFY: 2003			Work Statement for Year 5 FFY: 2004		
General Description of Major Work Category	Quantity	Estimated Cost	General Description of Major Work Category	Quantity	Estimated Costs
MODERNIZATION STAFF & JOC PERSONNEL	7	519,841	MODERNIZATION STAFF & JOC PERSONNEL	7	540,634.64
BENEFITS	7	109,967	BENEFITS	7	114,365.37
LEGAL, TRAVEL, PRINTING, PHONE, ADS	LUMP	32,414	LEGAL, TRAVEL, PRINTING, PHONE, ADS	LUMP	32,145.00
SUBTOTAL		662,222	SUBTOTAL		687,145.01
OPERATIONS	1	425,000	OPERATIONS	1	450,000.00
AUDIT	1	1,000	AUDIT	1	1,000.00
NON-DWELLING EQUIPMENT			NON-DWELLING EQUIPMENT		
MAINTENANCE VEHICLES	7	210,000	MAINTENANCE VEHICLES	3	90,000.00
SUBTOTAL		210,000	SUBTOTAL		90,000.00
FEES:			FEES:		
ENVIRONMENTAL CONSULTING	1	30,000	ENVIRONMENTAL CONSULTING	1	40,000.00
MANAGEMENT CONSULTING FEES	1	40,000	MANAGEMENT CONSULTING FEES	1	20,000.00
MISC. A/E FEES	1	15,000	MISC. A/E FEES	1	15,000.00
SUBTOTAL		85,000	SUBTOTAL		75,000.00
PHA WIDE:			PHA WIDE:		
SECTION 504		7,500	SECTION 504		7,000.00
SECURITY		7,500	SECURITY		6,500.00
CONTINGENCY		100,000	CONTINGENCY		175,000.00
SUBTOTAL		115,000	SUBTOTAL		188,500.00
Subtotal of Estimated Costs		4,813,617	Subtotal of Estimated Costs		4,813,617.11